

NIMRS DEFINITIONS FOR INCIDENT TYPES AND SEVERITY RATINGS (Local Providers)

Incident Type - Subtype	Reportable to OMH?	Definition
Adverse Drug Reaction, Severe	Yes	Unintended, undesirable, unexpected or excessive response to a medication given at normal doses, which causes significant harm.
Allegation of Abuse or Neglect: Abuse and neglect involve an act (or failure to act) by an employee.		
- Physical Abuse	Yes	Non-accidental contact with a client which causes or potentially causes physical pain or harm.
- Psychological Abuse	Yes	Verbal or non-verbal action by an employee which causes or potentially causes emotional distress.
- Sexual Abuse	Yes	Sexual contact between employee and client, or non-consensual contact among clients allowed or encouraged by employee.
- Medication Abuse	Yes	Intentionally improper administration of medication.
- Neglect	Yes	Action or failure to act by employee which impairs or potentially impairs physical, mental or emotional condition of client.
Assault	*	Physical attack using force or violence in which client is either victim or aggressor.
Child Missing from Staff Supervision	Yes	Child whose whereabouts is not accounted for when expected to be present and under the supervision of staff at an outpatient program.
Contraband, Possession of	*	Client is found to possess an item or substance which is forbidden by program rules and/or has the potential to cause harm or interfere with client safety and treatment.
Crimes Not listed Elsewhere: Event which appears to be a crime, involves a client as either aggressor or victim, and affects or potentially affects the health or safety of one or more persons and/or the property or operation of the program. Note that arson (fire setting), assault, and sexual assault are reported as discrete incident categories.		
- Homicide Attempt	Yes	Act of aggression intended to kill victim.
- Homicide by Client	Yes	If client is victim of homicide, report as client death.
- Narcotics, Sale or Possession	Yes	Include client acts which are or appear to be crimes.
- Robbery	Yes	Include client acts which are or appear to be crimes.
- Possession of Deadly Weapon	Yes	Possession of deadly weapon not properly registered or otherwise considered a crime.
-Other Crime	*	Crime not listed elsewhere which endangered or potentially endangered the life, health, or welfare of a client or other individual. Include client acts which are or appear to be crimes.
Death of a Client: Report the death of any client who was enrolled and/or receiving services at the time of death or was discharged within thirty days of death.		
- Natural Causes, Expected	Yes	Death of a client due to known medical causes following the expected course of illness or disease, while under treatment consistent with standard medical practices (a natural death)
- Natural Causes, Unexpected	Yes	Death of a client due to unexpected natural causes.
- Homicide of Client	Yes	Death of a client apparently caused by an act by another individual.
- Suicide	Yes	Death of client caused by deliberate self-inflicted injury intended to end his/her own life.
- Accidental	Yes	Accidental and unexplained, except those related to treatment provided.
- Lack of Appropriate Treatment	Yes	Death of a client which is related to the lack of treatment provided in accordance with generally accepted medical standards.
- Restraint or Seclusion	Yes	Death of a client associated with the use or attempted use of restraint or seclusion.
- Unexplained	Yes	Cause of death is not known.
Fall: As of 1/1/04, all client falls are to be entered using this incident type. Client falls should no longer be entered using "Injury-Accidental" or any facility defined incident type.		
- Fall - With Injury	*	A fall which results in any level of physical injury to the client.
- Fall - Without Injury	*	A fall which does not result in any physical injury to the client.
Fight	*	Physical altercation between two or more clients, other than an assault.
Fire Setting	*	Client action resulting in fire, either deliberate or accidental.
Inappropriate Sexual Behavior, Children	*	For children and adolescents only, inappropriate sexual behaviors not defined elsewhere, including sexually oriented taunting, threats, or intimidation and any sexually oriented behavior or language that has or potentially has a negative impact on the well being of another client.
Injury - Accidental	*	Physical injury to client which does not appear to be deliberately caused by the patient or another person.
Injury of Unknown Origin	*	Physical Injury to client for which a cause cannot be immediately determined.

* Reportable to OMH only if you determine Harm or Risk to be at Level 3 (or a Harm Level 2 or 3 for Residential Treatment Facilities). See Incident Severity Rating table on Page 2.

CALL (518) 474-3619 IF YOU HAVE ANY QUESTIONS ABOUT REPORTING AN INCIDENT.

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Medication Event: Any preventable event that may cause or lead to inappropriate medication use or patient harm while the medication is in the control of the health care professional, patient, or consumer		
– Category C	*	Error reached the patient but did not cause harm
– Category D	*	Error reached the patient and required monitoring and/or intervention to preclude/prevent harm
– Category E	*	Error may have contributed to and/or resulted in temporary harm and required intervention
– Category F	*	Error may have contributed to and/or resulted in temporary harm requiring initial or prolonged hospitalization (normally Risk Level 3)
– Category G	*	Error may have contributed to and/or resulted in permanent harm to patient (normally Risk Level 3)
– Category H	*	Error occurred that required intervention necessary to sustain life (normally Harm Level 3 and Risk Level 3)
Missing Client (Inpatient-Residential):	*	A client of an inpatient or residential program who has not been accounted for when expected to be present and who has not been found on the facility grounds or other expected location.
Missing Subject of AOT Court Order:	Yes	Only outpatient programs should use this category to report a client who is subject to an AOT court order who fails to keep a scheduled appointment and/or who cannot be located within a 24 hour period. Inpatient and residential programs should report missing AOT persons under "Missing Client".
Self Abuse	*	Self-inflicted harm by a client not intended to result in death.
Sexual Assault: Non-consensual sexual activity involving force or violence or deemed non-consensual because one or more participants is found to lack the capacity to consent, and all sexual contact between a person who is 18 years of age or older and a person who is less than 15 years old, or between a person who is 21 years of age or older and a person who is less than 17 years old.		
– Rape or Non-consensual Sodomy	Yes	Sexual assault resulting in vaginal, anal, or oral penetration.
– Other Sexual Assault	Yes	A sexual attack, other than rape or sodomy, which otherwise meets the criteria for sexual assault (force or violence, inability to consent, statutory age differences of participants), including attempted sodomy and forcible sexual encounters.
Sexual Contact: Any touching of the sexual or other intimate parts of a person's body with the intent of gratifying sexual desire of either party.		
– Sexual Contact - Child	Yes	Any sexual contact between children that does not meet the criteria for sexual assault.
– Non-consensual Contact - Adult	*	Any non-consensual sexual contact involving an adult client that does not meet the criteria for sexual assault, such as groping, grabbing, or touching intimate areas not marked by force or violence.
– Consensual Contact - Adult	*	Any sexual contact involving adult clients capable of giving consent (Inpatient Only)
Suicide Attempt	Yes	An act committed by a client in an effort to cause his or her own death.
Other Incident	*	An event, other than those listed above, which has or may have an adverse effect on the life, health or welfare of the client and/or another person; which has or potentially has a significant adverse impact on the program or operations; or which has or may attract adverse media attention.

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Incident Severity Rating			
Rating Severity Factor	1 - Low	2 - Moderate	3 - Severe
Harm Select the severity rating which reflects the level of physical and/or psychological harm, along with the medical and/or psychiatric intervention needed as a result of the incident.	-No physical harm or minor harm, e.g., bruise, minor laceration; -Medical intervention limited to first aid or less; and/or -No or little psychological harm; -No psychiatric treatment beyond reassurance and support.	-Moderate physical harm; -Medical intervention and treatment beyond first aid, e.g., x-rays and sutures; and/or -Moderate psychological harm, e.g., negative changes in affect, behavior or cognition; -Change in psychotropic or psychotherapeutic intervention.	-Serious physical injuries; -Emergency life saving procedures, admission to hospital, or treatment in ER; and/or -Life threatening psychiatric conditions; -Major change in treatment, e.g., admission to more intensive treatment setting or level of supervision.
Risk Select the risk severity rating which reflects the potential for harm, given the actions taken and the level of motivation of the person(s) involved in the incident.	No risk or slight risk of complications or serious harm.	Potential for moderate injury.	Risk for life-threatening harm.

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