

Freedom of Information Law Subject Matter List OMH Central Office Updated March 10, 2021

Listed below by subject matter are records, as defined by the Public Officers Law, which are maintained at the Central Office for the Office of Mental Health as required by Public Officers Law §87(3)(c). This is not intended to be an exhaustive list, but rather a reasonably detailed list by subject matter of the records possessed by the Agency, whether subject to the Freedom of Information Law (FOIL) or not.

For more information about the Freedom of Information Law, visit the <u>NYS Committee</u> on Open Government ^I.

Audit

External financial, management, operational or program audits. These reports have been prepared by OMH, the Office of the State Comptroller, the Commission on Quality of Care for the Mentally Disabled, Federal Health and Human Services, etc.

Budget and Fiscal

2013-2014 Office of Mental Health Budget Requests 2014-2015 Office of Mental Health Budget Requests 2015-2016 Office of Mental Health Budget Requests 2016-2017 Office of Mental Health Budget Requests 2017-2018 Office of Mental Health Budget Requests 2018-2019 Office of Mental Health Budget Requests 2019-2020 Office of Mental Health Budget Requests 2020-2021 Office of Mental Health Budget Requests 2021-2022 Office of Mental Health Budget Requests Aid to Localities Spending Plan Allocations: Guidelines and Instructions Annual State Psychiatric Center Non-Personal Service Spending Plan Allocations Comprehensive Outpatient BillingSystem Manual Consolidated Claims Reporting Manual Consolidated Financial Reporting and Claiming Manual Finance Information System User Guide Medicaid Rates Promulgated by OMH for Mental Health Ambulatory Programs **OMH Shelter Plus Care Program Management Guide** 2014 – 2015 Enacted Budget 2013 – 2014 Enacted Budget 2012 – 2013 Enacted Budget 2011 – 2012 Enacted Budget 2010 – 2011 Executive Budget Recommendation and Enacted Budget Update 2009 – 2010 Executive Budget Recommendation and Enacted Budget Update 2008 – 2009 Executive Budget Recommendation and Enacted Budget Update 2007 – 2008 Executive Budget Recommendation 2006 - 2007 Executive Budget Recommendation

General

OMH Newsletter (monthly) OMH press releases



OMH advisories Emergency Management Plan <u>OMH Official Policy Manual</u> OMH Quarterly PAR Application Process NY SAFE Act (Guidance for Mental Health Providers) Behavioral Health Organizations Implementation

Human Resource Management

Central Office Employee Handbook Employee Records-Central Office Employee Personal History Folders Exam Files-Canvasses, Eligible Lists, and Certifications HRMS User's Guide Recruitment Materials Subject Files Title Files – Classification and Exam Planning Folders Training Materials

Office of Diversity and Inclusion

Strategic Plan for Culturally Competent Accessible Mental Health Services (2019 2021) Statewide Multicultural Advisory Committee Minutes Statewide Multicultural Advisory Committee Bylaws Facility Gender Identity and Gender Expression Nondiscrimination Policy and Protocols for Interaction with Transgender and Gender Non-Binary Patients OMH Official Policy Manual: Cultural and Linguistic Competence (PC-502) OMH Bi-Annual Language Access Plan(s) OMH Bi-Annual Workforce Diversity and Inclusion Report(s) Cultural Competence Diversity and Respect Committee - Operational Guidance All related policies

State Operations Finance Group

Annual Representative Payee Report Comprehensive Outpatient Billing System Manual Finance Information System (FIS) User Guide and FIS2 Help Medicaid Rates for State Operated Services (Inpatient, Outpatient, Residential) Numbered Resource Letters, General Information Statements and Memoranda Patient Resource Agent Manual Senior Agent Manual Social Security Administration On-Site Reviews Service Recording Manual

Consolidated Business Office

Contracts OMH Procurement Lobbying Act Guidelines and Forms Requests for Proposals/Requests for Information

ISO

MHARS User Guide OMH Web Site Content



Legal

Final Opinions and Orders made in adjudicatory proceedings and an index thereof Final Opinions and Orders made in site selection proceedings New York Codes, Rules and Regulations, Title 14 OMH Privacy Policy Manual

Minutes

Interagency Geriatric Mental Health Planning Council Meeting Minutes Mental Health Services Council minutes (consolidated into Behavioral Health Services Advisory Council in 2012) Mental Hygiene Family Advisory minutes MHPAC minutes (consolidated into Behavioral Health Services Advisory Council in 2012) Minutes of Board of Visitors' meetings and public hearings Statewide Multicultural Advisory Committee minutes Recipient Advisory Committee minutes

Operations and Support Services

ACT Institute Annual Reports ACT Certification Manual Administrative Support Procedure Manual Annual Reports to DOH on Medical Case Management Services Assertive Community Treatment (ACT) Guidelines and Training Material Assisted Outpatient Treatment Program Guidance for AOT Program Operation Reissued February 2014 Guidelines for Initial Reporting by Local Governmental Units LGUs) on Assisted Outpatient Treatment (AOT) AOT Guidance For Reporting Significant Events CPEP Annual Report (2012 is the last required report) Cultural Competence FACT sheets Cultural Competence Performance Measures for Managed Behavioral Healthcare Programs (1998 Evidence-Based Practice (EBP) Curriculum for MSW Programs and Guidelines for EBP Field Placements Geriatric Mental Health & Chemical Dependence: Annual Report: 2010 Report | 2009 Report | 2008 Report | 2007 Report | 2006 Report Housing Development Manual NYC Interim and Final Reports to the Legislature on Assisted Outpatient Treatment (AOT) Interstate Compact Manual for Mental Health and Mental Retardation/Developmental Disabilities OMH Family Care Policy and Procedure Manual OMH Home and Community Based Services Waiver for Children OMH Supported Housing Guidelines OMH Bed Chart New Directions in Social Work Masters Education: Evidence Based Practice in Mental Health Course & Field Placement Personal Care Manual Psychotherapeutic Drug Manual Rural Reports to the NYS Legislature Residential Program Indicators Report (issued quarterly) Targeted Case Management (TCM) to Health Homes (HH) Uniform Case Record Manual



Licensing Reports (including Monitoring Outcome Report and License)

Planning

Center for Mental Health Services Block Grant Application and Compliance Report Chart book of Mental Health Information Monthly Reinvestment and Facility Performance Measure Reports Statewide Comprehensive Plan for Mental Health Services Strategic Framework – October 2010 2017 Interim Report to the Statewide Comprehensive Plan Statewide Comprehensive Plan 2016-2020 2012 – 2016 Statewide Comprehensive Plan for Mental Health Services 2014 Interim Report 2013 Interim Report 2012 Interim Report 2011 – 2015 Statewide Comprehensive Plan for Mental Health Services 2011 Interim Report 2010–2014 Statewide Comprehensive Plan for Mental Health Services 2009 Interim Report 2009 – 2013 Statewide Comprehensive Plan for Mental Health Services 2008 Interim Report 2008 October Update to the 2006 – 2010 Statewide Comprehensive Plan for Mental Health Services 2007 Update and Interim Report to the 2006 – 2010 Statewide Comprehensive Plan for Mental HealthServices. 2006 Interim Report 2006 - 2010 Statewide Comprehensive Plan for Mental Health Services 2005 Interim Report 2005 – 2009 Statewide Comprehensive Plan for Mental Health Services 2004 Interim Report 2004 – 2008 Statewide Comprehensive Plan Transformation Plan 2014 End of Year Report Transformation Plan 2014-2015 End of State Fiscal Year Report Transformation Plan 2015-2016 End of Year Report Transformation Plan 2016-2017 End of Year Report **Research and Evaluation** Final reports of evaluation and research projects.

Final reports of evaluation and research projects. OMH developed survey and research instruments. Patient Characteristics Survey Summary Tables

Quality Management

ECT Guidelines Inspection of Care Survey Reports Licensing Reports (including Monitoring Outcome Report and License) New York State Incident Management and Reporting System OMH ManagementReports Prior Approval Review (PAR) Applications and Determinations Tiered Certification Manuals



OMH Mandated Reports

Annual Inter-Office Coordinating Council (IOCC) - Section 5.05(2) of the Mental Hygiene Law (through 2007)

Statewide Comprehensive 5-year Plan - Section 5.07 of the Mental Hygiene Law

Three year Capital Plan - Section 5.07(4)(c) of the Mental Hygiene Law

Annual Geriatric Mental Health Report - Chapter 568 of the Laws of 2005 (Note: Jointly prepared with State Office of the Aging)

Annual Kendra's Law (Data) Report - Chapter 158 of the Laws of 2005.

Annual Kendra's Law (Fiscal Report) - Chapter 158 of the Laws of 2005.

Children's Mental Health Plan - Section 483 (f) of the Social Services Law (Note: The report is prepared in collaboration with the Council on Children and Families (CCF) and submitted by the CCF).

Annual Report on Implementation of the Sex Offender Management Treatment (SOMTA) Act - Section 10.10(i) of the Mental Hygiene Law.

Annual Report detailing how persons' federal benefits are being utilized (Representative Payee) - Section 33.07(i) of the Mental Hygiene Law.

Annual Rural Report - Section 164-b of the Executive Law

Binders

2009 Aid to Localities Funding Summary 2010 Aid to Localities Funding Summary Briefing Involuntary River Search and Recovery Effort in Westchester Co. Camp Get-A-Way **Communications Briefings** Data book for SPEAK Campaign Emergency Management Resource Guide 2013 Evidence-Based Practices in Mental Health: Course and Field Placements Family Support Services Report Final Audit: Training of Direct Care Staff Human Resources Management Briefing Material In Their Own Words Families in Crisis (NKI) Land Book Medicaid Institute for State Mental Health Agencies Mental Health Medicaid Case Management Mental Health Weekly Issues New York State Standard Multi-Hazard Mitigation Plan (State Mitigation Plan) 2014 Next Circle of Care: New York State Public School Region 10 NYAPRS Training Collective: June 2003 - October 2004 Program Report Profiles of New York State Psychiatric Centers: January 2004 Project Hope Regular Services Program – Final Report Rehabilitation Support Services Briefing Book Saving Lives in NY Staten Island Mental Health Society SPEAK Kits SPEAK Up, Save Lives pamphlets State Estimates of Substance Use from the 2002 - 2003 National Surveys on Drug Use and Health Suicide Statistics/New York vs. Other States **Testimony - Adult Homes** Timothy's Law Campaign



TRAAY - Treatment Recommendations for the Use of Antipsychotic for Aggressive Youth Treatment of Bipolar Disorder 2004 Turning Knowledge into Practice (EBP) Unmasked (Odyssey House Art Project) Using Medicaid to Support Working Age Adults with Serious Mental Illnesses in the Community: A Handbook Your Child's Mental Health

Health and Recovery Plans (HARPS)/Managed Care

Adult Home and Community Based Services Manual

Online Records and Publications

The Office of Mental Health (OMH) has a number of publications available. The listing below is the publications that can be downloaded and printed from the Internet. There are also some publications available for a fee. For a list, call the Design Center at (518) 473–3574.

Booklets/Illness Information

- Anxiety Disorders
 A booklet to help you identify the symptoms and causes of anxiety disorders. It
 will also explain how to obtain treatment and suggest ways to make such
 treatment more effective.
- Attention Deficit HyperactivityDisorder A variety of medications, behavior–changing therapies, and educational options are available to help people with ADHD focus their attention, build self–esteem, and function in new ways.
- Bipolar Disorder

Bipolar disorder, also know at manic–depressive illness, is a brain disorder that causes unusual shifts in a person's mood, energy, and ability to function. Bipolar disorder can be treated, and people with this illness can lead full and productive lives.

• Depression

Depressive illnesses often interfere with normal functioning and cause pain and

suffering not only to those who have the disorder, but also to those who care about them. There are now medications and psychosocial therapies that ease the pain of depression.

• Eating Disorders

Facts about eating disorders and the search for solutions.

Medications

A booklet to help people with mental illness and their families understand how and why medications can be used as part of the treatment of mental health problems.

• Post–Traumatic Stress Disorder

Post–Traumatic Stress Disorder is a normal reaction to seriously disturbing events. This booklet examines the signs, symptoms and steps you can take to treat PTSD.

• Schizophrenia is a chronic, severe, and disabling brain disease. However, this is a time of hope for people with schizophrenia and their families. Research is gradually leading to new and safer medications and unraveling the complex causes of the disease.



• Heat Illness (Español | ﻧﻲ ﺑﺮﻉ ﻙ ﺍ 中文 | РУССКИЙ | Kreyòl Ayisyen | Ìtàliànà | 한국어를)

Children

- Adolescents with Co- occurring Disorders: Task Force Report
- A Primer on the NYS Children's Mental Health System for Local Education Leaders (May 2015)
- Pan Flu Resources Information for Children
- Violence Prevention: Creating Safer Schools in New York State (May 2015)
- Education 101 for Mental Health Leaders (May 2015)
- Improving School and Community Outcomes for Children and Adolescents with Emotional and Behavioral Challenges (May 2015)

Emergency Preparedness and Response

- Emergency Evacuation & Fire Plan
- Emergency Planning Guide for Community Officials
- OMH Emergency Management Resource Guide
- New York State County Disaster Mental Health Planning and Response Guide

Financial Information

Guides

- Dealing with Cognitive Dysfunction
- Grief Counseling Resource Guide

Statewide Planning Documentation

- 2014 2018 Statewide Comprehensive Plan for Mental Health Services
- OMH Transformation Plan

Statistics and Reports

Link to Archived Statistics and Reports

Adult Housing

Assertive Community Treatment Reports – Up-to-date statistical data on program operations, the demographic and diagnostic characteristics of ACT recipients, and recipient outcomes.

Assisted Outpatient Treatment (AOT) Reports – Up-to-date statistical data on AOT program operations, the demographic and diagnostic characteristics of AOT recipients, and outcomes for AOT recipients.

BHO Performance Metrics Portal – Tracked performance measures using Medicaid claim data from 2010 to 2014.

The Children, Teens and Familied Indicators Portal

The Consumer Assessment of Care Survey (CACS)



County Profiles Portal – Consolidated, at-a-glance, and comparative views of key county community characteristics, mental health services expenditures, and outcomes. The Portal enables uses to identify service gaps and disparities and plan improves service delivery.

NYS DSRIP Region and County Behavioral Health Planning Data – Data intended for providers, researchers, and others to better understand behavioral health care resources, planning efforts, and needs in New York State's communities.

The New York Employment Services System (NYESS)

Patient Characteristics Survey (PCS) Portal

OMH Data Portals

Adult Housing

Reports Residential Program Indicators (RPI): a performance measurement tool for adult housing programs in New York State. Users can evaluate agency residential programs based on county, regional, and statewide averages.

Assisted Outpatient Treatment (AOT) Reports

Up-to-date statistical data on AOT program operations, the demographic and diagnostic characteristics of AOT recipients, and outcomes for AOT recipients.

Children, Teens and Families Indicators

Dynamic reporting using information that providers report into CAIRS, and information that youth and families submit to OMH through the NYS OMH Youth and Family Assessment of Care surveys.

NYS DSRIP Region and County Behavioral Health Planning Data

Data intended for providers, researchers and others to better understand behavioral health care resources, planning efforts, and needs in New York State's communities.

Personalized Recovery Oriented Services (PROS)

Up-to-date statistical data on the PROS program. Includes demographic and diagnostic characteristics of PROS recipients, and recipient outcomes.

Tableau Data Visualization

Assertive Community Treatment (ACT) Reports

Presents information about individuals served by the New York State ACT program. Comparisons shown between ACT teams, OMH regions and New York State.

Consumer Assessment of Care Survey (CACS)

Reports data collected annually from adults in state-operated outpatient, non-residential programs. These reports provide an assessment of consumer perceptions of various dimensions of care and quality of life.

County Planning Reports

Displays information about Medicaid-Funded Mental Health service use and expenditures. Reports



show average daily use and capacity of mental health inpatient and outpatient services. Statistics on readmission rates for psychiatric reasons are included.

OMH Medicaid Population Characteristics and Service Utilization Trends

Provides characteristics and service utilization trends for the New York State Medicaid Eligible Public Behavioral Health Population.

Patient Characteristics Survey (PCS)

Provides a snapshot of people served by New York State's public mental health system. Reports show service trends in specific geographic areas compared to nearby areas and the rest of New York State.

Profile of Children in NYS Medicaid with Behavioral Health Needs

Provides reports on characteristics and service utilization patterns for NYS Medicaid enrolled children with Behavioral Health Needs.

Youth and Family Assessment of Care Survey (YACS/FACS)

Displays results from NYS OMH Youth and Family Assessment of Care satisfaction surveys. This visualization shows the percent positive of responses to various questions about a child's service.

OMH Reports

2020 Report on Suicide Prevention Activities

Outlines the Suicide Prevention programs and activities OMH is overseeing and supporting across the State. The report provides detailed information on the findings and recommendations of Governor Cuomo's Suicide Prevention Task Force and the steps OMH is taking to implement those recommendations.

2020 Annual Representative Report

This report is provided pursuant to Section 33.07 (i) of the Mental Hygiene Law (MHL) which requires the submission of an annual report by the Office of Mental Health (OMH) detailing the handling of funds received by a facility director as representative payee.

Annual Report on the Implementation of Mental Hygiene Law Article 10

Mental Hygiene Law Article 10, effective in April 2007, was enacted as part of New York's Sex Offender Management and Treatment Act (SOMTA).

Geriatric Mental Health & Chemical Dependence Annual Report

The Report presents a long-term plan regarding the geriatric and chemical dependence needs of New Yorkers and recommendations to address those needs.

2017 Interim Report to the Statewide Comprehensive Plan

Also known as the "5.07 Plan" (after Section 5.07 of New York State mental hygiene law), the 2017 Interim Report to the Statewide Comprehensive Plan includes a review of consumers, services and programs, and gross expenditures within the New York State public mental health system.

- OMH Appendix H- Appendix to Unified Statement Response to SED Report
- 2007-2013 Annual Reports on the Implementation of Mental Hygiene Law Article 10
- An OMH Assessment of Clinical Care, Professional Workforce, Research, and Local Government Opportunities (2007)
- Assisted Outpatient Treatment Program Evaluation



- Geriatric Mental Health & Chemical Dependence: Annual Report
- Kendra's Law: A Final Report on the Status of Assisted Outpatient Treatment (2005)
- Mental Health Outpatient Reimbursement Study
- New York State/New York City Mental Health– Criminal Justice Panel Report and Recommendations
- Unmet Needs Assessment Report

Clinical Advisories and Guidelines

Increased Risk of Heat Illness to Persons Taking Antipsychotic Medications May 8, 2017

Illegal Synthetic Marijuana July 14, 2016

Increased Risk of Heat Illness to Persons Taking Antipsychotic Medications May 19, 2016 Clozapine: Managing Constipation and GI Hypomotility May 18, 2015

Clinical Advisory Regarding Adult Homes August 8, 2012

Guidance Memo on the Use of PRN Medications April 29, 2010

Guidance on Electroconvulsive Therapy for Individuals under Age 18 April 29, 2010

Use of Antidepressants in Children and Adolescents February 23, 2009

Antipsychotic Medication Use in Adults February 16, 2009

Advisory on Antipsychotic Medications November 21, 2008

Cautions Against the Use of Antipsychotic Medication for Treatment of Dementia June 24, 2008

Guidance on Electroconvulsive Therapy September 22, 2007

Use of Atypical Antipsychotic Medication in Children and Adolescents May 16, 2007

Electroconvulsive Therapy Review Guidelines January 13, 2003



PROS Standards of Care and Survey Protocol

• PROS Standards of Care

Guidance Documents

The Office of Mental Health makes available, through this page, the full text of all guidance documents on which the agency currently relies. As defined in section 202-e of the State Administrative Procedure Act, a guidance document is any guideline, memorandum or similar document, prepared by an agency, that provides general information or guidance to assist regulated parties in complying with any statute, rule or other legal requirement. The term does not include documents that concern only the internal management of the agency, such as a policy that applies only to an OMH operated facility or program.

Adult Services

- Assertive Community Treatment (ACT) Certification Manual
- ACT Health Home Transition: Interim Instruction February 19, 2014
- ACT in Health Homes: Joining Health Home Networks
- ACT Program Guidelines
- Administrative Practices and Medicaid Requirements
- AOT Guidance for Reporting Significant Events
- Assisted Outpatient Treatment Program Guidance for AOT Program Operation
- Guidelines for Initial Reporting by Local Governmental Unit on Assisted
 Outpatient Treatment
- NYS Office of Mental Health (OMH) Policy Statement Regarding Electronic Signatures, September 2007
- OMH Approval of Disposition of Real Property Acquired through State Aid
- Prohibited Related-Party Transactions, Self-Dealing, and Waste of State Funds
- Serious & Persistent Mental Illness
- Supported Housing Program Implementation Guidelines
- Waiver of Competitive Bidding Requirements for General Contractors

Behavioral Health Managed Care

- Billing Guidance
- Implementation, Policy, and Utilization Management
- Information Technology
- Value-Based Payment Readiness

Bureau of Criminal History Information

Criminal History Background Check

Bureau of Cultural Competence

• Agency Cultural Competence Plan Format

Children and Family Services

• Home and Community-Based Services (HCBS) Guidance Documents (Waiver Manual)

Clinic and Ambulatory Restructuring

- Part 599 Guidance
- Telepsychiatry Standards Guidance



- New York State Consolidated Budget Reporting and Claiming Manual
- Office of Mental Health Finance Consolidated Fiscal Reporting
- OMH Procurement Lobbying Act Guidelines and Forms

Forensic Services

• Mental Health Resources Handbook

Health Services

- Important Information Regarding the Medicaid Pharmacy Benefit
- Medicaid Self-Disclosure Guidance
- Medicare Part D
- Medical Alert Increased Risk of Heat Illness to Persons Taking Antipsychotic Medications

Inspection and Certification

- Comfort Room Guide
- Electroconvulsive Therapy Review Guidelines
- Implementation Guidelines 14 NYCRR Section 526.4 Restraint and Seclusion
- Inpatient Safety Standards
- Standards of Care Anchor Elements
- Use of PRN Medications

Office of Performance Measurement and Evaluation

• Mental Health Provider Data Exchange

Public Information Office

- Communication Needs of Persons Who Are Non– English Speaking, Deaf or Hard of Hearing
- HIPAA Privacy Rules for the Protection of Health and Mental Health Information
- Inpatient Rights
- Outpatient Rights

Office of Mental Health (OMH) Forms

Application for Prior Approval Review

- Form OMH 167 Application for Prior Approval Review 14 NYCRR 551 Personalized Recovery Oriented Services (PROS) Program (Part 512)
- Prior Approval Review (PAR) Application Status

Health Insurance Portability and Accountability Act (HIPAA)

- Authorization for Patient Interview OMH 445
- Authorization for Patient Photograph OMH 446
- Notice of Privacy Practices Standard
- OMH Sample Agreement QA 530
- Standard Authorization Form OMH 11

OMH Procurement Lobbying Act Forms for Vendors

- Offeror's Affirmation of Understanding Attachment J1
- OMH Offeror Disclosure of Prior Non-Responsibility Determinations Attachment J2



• Notification of Restricted Period Under Procurement Lobbying Act for Sole, Single or Preferred Vendors Attachment J4

Regulations

 Part 501 of Title 14 NYCRR grants the Commissioner the ability to waive regulatory requirements for purposes of testing innovative programs that may increase the efficiency and effectiveness of operations or providing additional flexibility to better meet local service needs while maintaining program quality and integrity. Instructions | Waiver Request Form