

PART 553

VISITATION AND INSPECTION OF FACILITIES

(Statutory Authority: Mental Hygiene Law §§7.09, 7.15, 7.17, 31.02, 31.04, 31.05, 31.07, 31.08, 31.09, 31.11, 31.13, 31.19, 41.13)

Section 553.3 of Title 14 NYCRR is amended to read as follows:

§553.3 Scope of reviews and inspections.

(a) For purposes of this Part, “facilities under the jurisdiction of the Office of Mental Health” shall mean facilities required to obtain an operating certificate from the Commissioner pursuant to Article 31 of the Mental Hygiene Law.

(b) Prior to visiting a facility, the reviewer will study reports of previous reviews and inspections and the following information submitted by the facility:

(1) clinical and statistical data, and

(2) the policies of the facility.

~~(b)~~(c) The onsite review and inspection shall include, as appropriate:

(1) review of program operation in comparison to programs authorized;

(2) private conversation with any person receiving mental health services or employee who so desires;

(3) review of case records of persons currently or previously served;

- (4) review of the legal admission documents of persons receiving services and the conformity of the facilities admission procedures with the law and regulations;
- (5) review of the records of restraint and seclusion;
- (6) review of the qualifications of the staff and the staffing pattern in comparison to those authorized;
- (7) inspection of the records and storage of medications, and procedures for prescription and dispensing of medications;
- (8) review of the minutes of meetings of the governing body;
- (9) inspection of the physical plant and equipment, and review of protective procedures in relation to structural and fire hazards;
- (10) identification of any construction or improvements to the premises completed since the last visit; and
- (11) review of written reports by local inspectors and other authorized inspection, certifying, or accrediting agencies, and review of conditions about which any recommendations for improvement have been made.